



PATENT Docket GE132280

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Applicant:**

E.A. Krammer et al

**Art Unit:** 3745**Application No.:** 10/718,149**Confirmation No:** 8973**Examiner:** White, D.**Filed:** 11/20/2003**Title:** Triple Circuit Turbine Cooling**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
 Commissioner for Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [ X ] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [ ] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- [ ] a. The required Certification made in item 5 below; or
- [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- [ X ] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 5 below; and

**CERTIFICATE OF TRANSMISSION (37 CFR 1.8a and MPEP 512)**

I hereby certify that this 25-PAGE correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at Fax No. 703-746-4000 on the transmission date indicated below.

FRANCIS L. CONTE  
 (Name of person transmitting paper)

(Signature of person transmitting paper)

18 March 2005  
 (Date)

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03/18/2005

01 FC:1806

180.00

DA

## PATENT Docket GE132280

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

[ X ] 5. Certification

[ X ] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

[ ] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[ X ] 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0865 of General Electric Company in accordance with attached Fee Transmittal for FY 2005.

Respectfully submitted,



Francis L. Conte  
Registration No. 29,630  
Attorney for Applicant

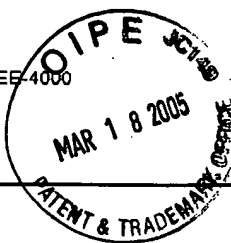
Date: 18 March 2005

6 Puritan Avenue  
Swampscott, MA 01907  
Tel: 781-592-9077  
Fax: 781-592-4618

Attachments:

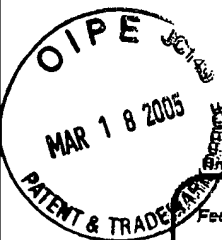
Form PTO-1449;  
Listed non-US References AL & AM (21 pages)  
Fee Transmittal for FY2005 (PTO/SB/17)

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Sheet of

<b>PTO-1449</b>  <b>Information Disclosure Statement</b> <b>by Applicant(s) - List of Items</b>				<b>ATTY. DOCKET NO.</b> GE132280		<b>SERIAL NO.</b> 10/718,149		
				<b>APPLICANT</b> E.A. Krammer et al				
				<b>FILING DATE</b> 11/20/2003		<b>GROUP</b> 3745		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	AA	4 7 4 1 1 5 3	05-1988	Hallinger et al				
	AB	3 0 3 4 2 9 8	05-1962	White				
	AC	5 1 3 5 3 5 4	08-1992	Novotny				
	AD	5 5 5 5 7 2 1	09-1996	Bourneuf et al				
	AE	6 0 5 0 0 7 9	04-2000	Durgin et al				
	AF	4 0 8 0 7 8 5	03-1978	Koff et al				
	AG							
	AH							
	AI							
	AJ							
	AK							
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AL	1 0 8 8 9 6 3	04-2001	EP				
	AM	0 4 6 9 7 8 4	02-1992	EP				
	AN							
<b>OTHER INFORMATION (INCLUDING AUTHOR, TITLE, PERTINENT PAGES, ETC.)</b>								
	AR							
	AS							
	AT							
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				



PTO/SB/17 (12-04v2)  
Approved for use through 07/31/2009, OMB 0861-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Prior to the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **180**

### Complete if Known

Application Number **10/718149**  
Filing Date **11/20/2003**  
First Named Inventor **E.A. Krammer et al**  
Examiner Name **White, D.**  
Art Unit **3745**  
Attorney Docket No. **GE132280**

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):  
☒ Deposit Account Deposit Account Number: **07-0865** Deposit Account Name: **General Electric Company**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>		
<b>Extra Claims</b>		
<b>Fee (\$)</b>		
<b>Fee Paid (\$)</b>		

**Total Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 20 or HP =            x 50 = 0

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP =            x 200 = 0

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

- 100 =            / 50 =            (round up to a whole number) x            =           

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **No. 1806-IDS**

**Fees Paid (\$)**

**180**

#### SUBMITTED BY

Signature William Scott Andes Registration No. (Attorney/Agent) **33,582** Telephone **513-243-5955**  
Name (Print/Type) **William Scott Andes** Date **18 March 2005**

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.